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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Philip D. LAPSLEY, Jonathan Alexander LEE, David Ferrin
PARE, Jr. and Ned HOFFMAN

Serial No.: 09/815,434

Examiner: HAYES, John W.

Filed: March 22, 2001

Group Art Unit: 3621

Confirmation No. 8767

For: TOKENLESS BIOMETRIC ELECTRONIC FINANCIAL TRANSACTIONS
VIA A THIRD PARTY IDENTIFICATOR

MAIL STOP NON FEE AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Responsive to the Office Action dated April 28, 2003 and pursuant to the RCE filed August 25, 2003 with request for 3 month suspension, please amend the application as follows.

AMENDMENTS TO THE CLAIMS are reflected in the listing of claims which begins on page 2 of this paper.

REMARKS/ARGUMENTS begin on page 7 of this paper.

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